

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents
Washington, D.C. 20231 JC698 U.S. PTO

Atty. Dkt.: 839-1146

Date: January 24, 2002

Sir:



01/24/02

Attached for filing is the patent application of:

Inventor: JORDAN et al.

Entitled: WINDING RESTRAINT ON WOUND ROTOR GENERATORS OR
MOTORS AND METHOD FOR FORMING THE SAME

and including attachments as noted below:

☒ Newly executed Declaration, ☐ Copy of Declaration from prior application, ☒ Abstract
16 pages of specification and claims (including 36 numbered claims), and
3 sheets of accompanying drawing/s.

☒ Record the attached assignment and return to the undersigned.☒ Attached is a Power of Attorney.☐ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

Application Number	Country	Day/Month/Year Filed
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, respectively, the entire content of which is hereby incorporated by reference in this application..

Certified copy(ies) of foreign application(s) is/are attached.

Certified copy(ies) filed on _____ in prior appln. no. _____ filed _____

Please amend the specification by inserting the following paragraph before the first line: --This application
claims the benefit of Provisional Application No. _____, filed _____, the entire content of which is hereby
incorporated by reference in this application.--

☒ This application is a ☐ continuation/☐ division/☒ continuation-in-part of Application No. 09/766,670, filed
January 23, 2001, the entire content of which is hereby incorporated by reference in this application.--
Petition filed in prior application to extend its life to insure co-pendency.

The prior application is assigned to General Electric Company.

It is hereby requested that the Examiner consider the art cited in the parent application by applicant and/or the
Examiner for the reasons stated therein. A listing of that art is attached.

Applicant claims "small entity" status. ☐ "Small entity" statement attached.Please enter the attached and/or below preliminary amendment prior to calculation of filing fee:**Please cancel claims 1-20 without prejudice or disclaimer.**

Also attached: ☒ Form PTO-1449; ☐ Non-Publication Request; ☐ Nucleotide and/or Amino Acid
Sequence Submission; ☐ Statement deleting Inventor(s) named in prior application; ☐ Other:

FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY HEREWITH CANCELED

Basic Filing Fee				\$	740.00
Total effective claims	16	- 20 (at least 20) =	0	x \$ 18.00	\$ 0.00
Independent claims	2	- 3 (at least 3) =	0	x \$ 84.00	\$ 0.00
If any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)				\$	0.00
				SUBTOTAL	\$ 740.00
If "small entity," then enter half (1/2) of subtotal and subtract				-\$	(0.00)
				SECOND SUBTOTAL	\$ 740.00
Assignment Recording Fee (\$40.00)				\$	40.00
				TOTAL FEE ENCLOSED	\$ 780.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension.

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be
filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No.**

14-1140. A duplicate copy of this sheet is attached.

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NIXON & VANDERHYE P.C.

By Atty: Raymond Y. Mah, Reg. No. 41,426

Signature:

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

01/28/2002 RHARIS1 00000007 10053716

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740.00 OP

PTO-1556
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*U.S. GPO: 2000-468-987/39595